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# On-Surface Synthesis of Unsaturated Carbon Nanostructures with Regularly Fused Pentagon–Heptagon Pairs

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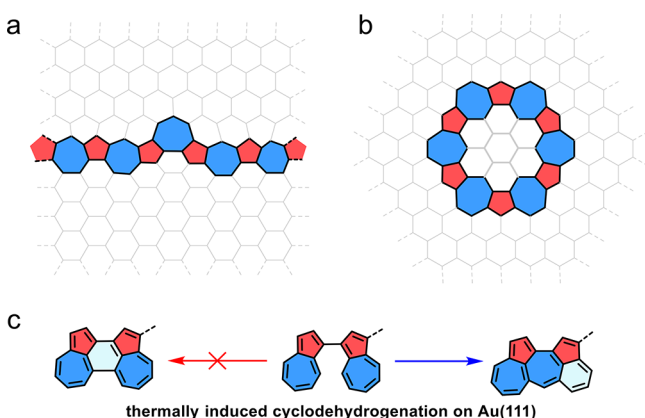
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**ABSTRACT:** Multiple fused pentagon–heptagon pairs are frequently found as defects at the grain boundaries of the hexagonal graphene lattice and are suggested to have a fundamental influence on graphene-related materials. However, the construction of  $sp^2$ -carbon skeletons with multiple regularly fused pentagon–heptagon pairs is challenging. In this work, we found that the pentagon–heptagon skeleton of azulene was rearranged during the thermal reaction of an azulene-incorporated organometallic polymer on Au(111). The resulting  $sp^2$ -carbon frameworks were characterized by high-resolution scanning probe microscopy techniques and feature novel polycyclic architectures composed of multiple regularly fused pentagon–heptagon pairs. Moreover, the calculated analysis of its aromaticity revealed a peculiar polar electronic structure.

In the hexagonal lattice of graphene, nanostructures of fused pentagon–heptagon pairs are found as defects at grain boundaries (see Figure 1a,b).<sup>1–6</sup> Numerous calculations have



**Figure 1.** Schematic representation of fused pentagon–heptagon pairs at graphene (a) grain boundary<sup>4</sup> and (b) grain boundary loop<sup>1</sup> and (c) the formation of fused pentagon–heptagon pairs during cyclodehydrogenation of oligo(1,3-azulenediyl) discovered in this work.

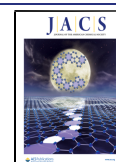
suggested that controlling these “defect” structures is essential for tuning the properties of graphene-based materials.<sup>5,7–15</sup> The unsaturated pentagon–heptagon bicyclic hydrocarbon, named azulene, is a classic of organic chemistry.<sup>16</sup> Organic synthesis has also provided several polycyclic aromatic hydrocarbons (PAHs) containing two fused azulenes, which exhibit unique physical properties, including small optical energy gaps and open-shell biradical characters.<sup>17–24</sup> Nevertheless, the construction of  $sp^2$ -carbon skeletons with multiple fused pentagon–heptagon pairs has remained challenging.

On-surface synthesis is emerging as a new method with remarkable success in fabricating novel  $sp^2$ -carbon nanostructures that are often inaccessible by conventional organic synthesis.<sup>25–30</sup> Typically, molecular precursors are sublimed onto metal surfaces under ultrahigh vacuum (UHV) conditions, followed by thermally induced surface-catalyzed reactions.<sup>25,31,32</sup> Syntheses of PAHs containing two embedded pentagon–heptagon pairs in their skeletons have been sporadically explored employing cyclodehydrogenation of precursors composed mainly of hexagons on Au(111) at elevated temperature.<sup>33–35</sup> On-surface polymerizations<sup>36–39</sup> and strain-induced<sup>40</sup> or thermally activated<sup>38</sup> rearrangements of azulene derivatives have also been reported. Polymers with multiple azulene units could serve as potential precursors for polycyclic  $sp^2$ -carbon nanostructures with abundant pentagon–heptagon pairs. Recently, it has been shown that poly(2,6-azulenediyl) can undergo lateral fusion on Au(111) when annealed at high temperature.<sup>38</sup> Nevertheless, among all these reported on-surface synthesized  $sp^2$ -carbon nanostructures, heptagon–pentagon pairs are isolated from each other by hexagons.

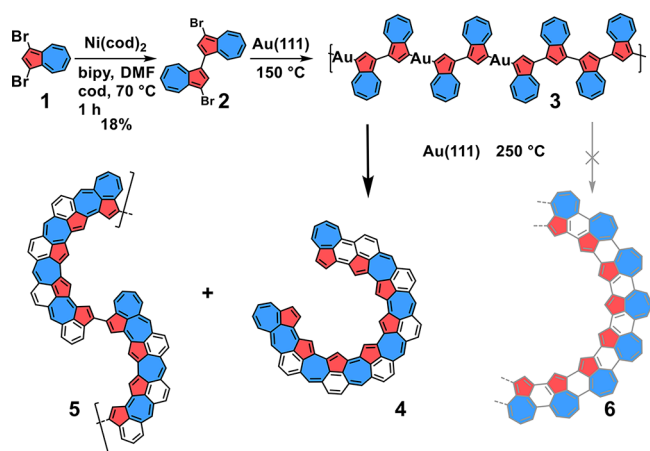
During our investigation of utilizing 1,1'-dibromo-3,3'-biazulenyne (2) on Au(111) for the synthesis of poly(1,3,4,8-azulenetetrayl) (6), a nonlinear graphene nanoribbon analogue with a nonhexagonal skeleton (Figure 1c and Scheme 1), its thermally induced reactions remained without success. Instead, novel  $sp^2$ -carbon nanostructures were formed featuring cyclopenta[ef]indeno[7,1-bc]heptalene subunits as chain ends

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**Scheme 1. Synthesis of 2 in Solution, Its Polymerization on Au(111), and Further Thermal Reaction<sup>a</sup>**



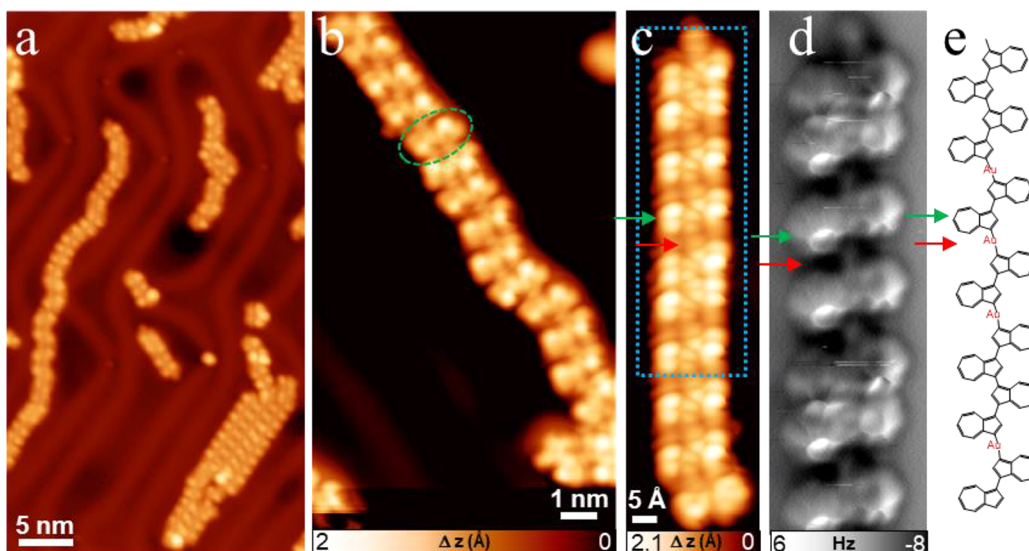
<sup>a</sup>Reagents: cod = 1,5-cyclooctadiene, bipy = 2,2'-bipyridine, DMF = dimethylformamide.

(Figure 1c), together with a polycyclic skeleton composed of multiple regularly fused pentagon–heptagon pairs (Scheme 1). One such nanostructure with 23 polygons was unambiguously characterized by means of high-resolution scanning tunneling microscopy (STM) and noncontact atomic force microscopy (nc-AFM). Additionally, its aromaticity was analyzed by nucleus-independent chemical shifts (NICS) and anisotropy of the induced current density (ACID) calculations. The formation of this unique architecture presumably involved a radical-promoted domino skeletal rearrangement of azulene-diyl moieties. This finding appears promising for the on-surface synthesis of new types of carbon-based materials.

First, molecular precursor 2 was synthesized under Yamamoto conditions that have been already used previously for the polymerization<sup>41</sup> of 1,3-dibromoazulene<sup>42</sup> (1) in solution. The stoichiometry of the  $\text{Ni(cod)}_2$  reagent was controlled to suppress the formation of higher oligomers.

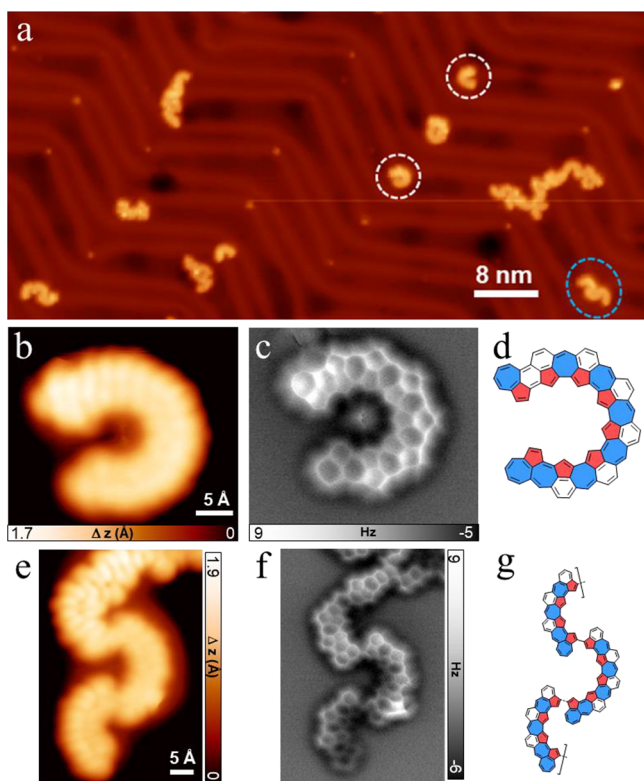
Biazulenyl 2 was isolated as the major product in 18% yield, accompanied by 25% recovery of 1 (Scheme 1). Then, compound 2 was deposited under UHV on Au(111) held at room temperature. The molecules self-assembled into aggregates, presumably stabilized by halogen–halogen interactions<sup>43</sup> (Figure S1). Upon further annealing at 150 °C, debrominative polymerization of 2 was triggered, leading to the formation of polymer chains (Scheme 1), which were confirmed by STM (Figure 2a). In a close-up image (Figure 2b), 1,1'-biazulenyl-3,3'-diyl<sup>44</sup> moieties were resolved, and we assigned the bright protrusions to heptagons (Figure 2c, indicated by green arrows). This interpretation was further supported by nc-AFM imaging, where the heptagons are more clearly resolved than the pentagons (Figure 2d). Considering the large distance ( $\sim 1$  nm) and protrusions between most of the neighboring 1,1'-biazulenyl-3,3'-diyl moieties (indicated by red arrows in Figure 2c–e), the observed polymer 3 is attributed to a 1,1'-biazulenyl-3,3'-diyls-Au organometallic polymer.<sup>36,37</sup> Although C–Au–C bonds are rarely formed on Au(111), they seem to occur where steric hindrance between debrominated species hampers subsequent C–C bond formation.<sup>45</sup> Interestingly, despite abundant C–Au–C motifs in 3, some C–C bonds were already formed, as evidenced by the existence of oligo(1,3-azulenediyl) moieties in the polymer chain (Figure 2c–e).

After further annealing of the sample at 250 °C, STM images disclosed drastically different curved nanostructures (Figure 3a,b,e). Nc-AFM imaging was employed to resolve a short segment (4) consisting of 23 polygons (Figure 3c). Its skeleton displayed multiple fused pentagon–heptagon pairs composed solely of carbon atoms with no residual Au atoms. Notably, despite the chain ends featuring a cyclopenta[ef]indeno[7,1-bc]heptalene and an isolated azulene skeleton, all pentagons (highlighted in red) appeared in the inner part of the curved structure, with the hexagons (in white) staying in the outer and the heptagons (in blue) in the middle parts (Figure 3c,d). Shorter segments with 17 polygons presenting the same structural feature were also observed (Figure S2). Note that we



**Figure 2.** (a) An STM image after annealing 2 on Au(111) at 150 °C ( $V_s = -1.5$  V,  $I_t = 0.07$  nA) and (b) a close-up STM image of organometallic polymer 3 ( $V_s = -0.1$  V,  $I_t = 0.11$  nA). A biazulenyl-diyl moiety is marked by a green ellipse. (c) A high-resolution STM image of an organometallic chain imaged by a CO-functionalized tip ( $V_s = -0.05$  V,  $I_t = 0.1$  nA). (d) A bond-resolved nc-AFM image of the marked region in (c) ( $V_s = -5$  mV, oscillation amplitude:  $\sim 80$  pm) and its (e) assigned chemical structure.





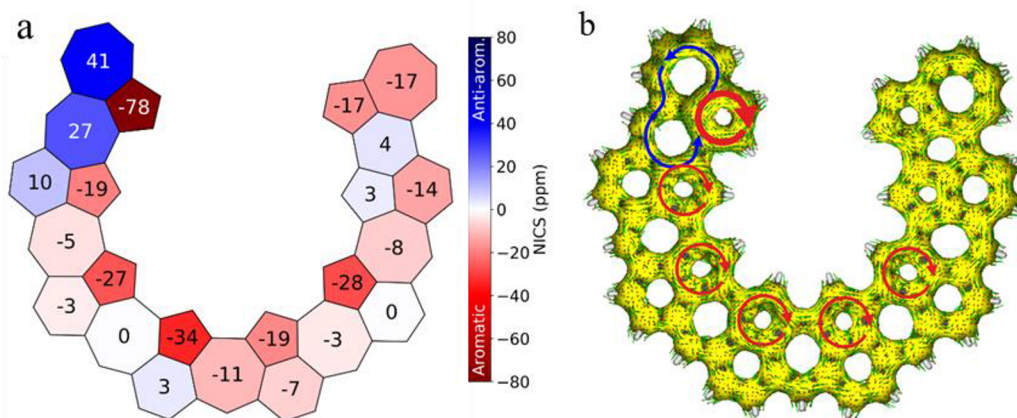
**Figure 3.** (a) An STM image after further annealing 3 at 250 °C ( $V_s = -1$  V,  $I_t = 0.1$  nA). (b) A close-up STM image of 4 ( $V_s = -0.02$  V,  $I_t = 0.1$  nA) and its (c) bond-resolved nc-AFM image ( $V_s = -5$  mV, oscillation amplitude:  $\sim 80$  pm) and (d) chemical structure. (e) A close-up STM image of polymer 5 ( $V_s = -0.02$  V,  $I_t = 0.1$  nA) and its (f) bond-resolved nc-AFM image ( $V_s = -5$  mV, oscillation amplitude:  $\sim 80$  pm) and (g) illustrative chemical structure. Nanostructures circled in (a) correspond to (b,c) (white) and (e,f) (blue).

did not find fully closed nanorings (Figure S3). However, there were several nanostructures (5) arising from polymeric precursors (Figure 3a). In the enlarged STM image, polygons linked by C–C single bonds can be recognized (Figure 3e–g). Importantly, the conventional cyclodehydrogenation of oligo(1,3-azulenediyl)s would lead to oligo(1,3,4,8-azulenetetrayl)s

6 (Scheme S1). The formation of 4 and polymer 5 must therefore involve rearrangements of the azulene skeleton.

Recent studies have shown that azulene in a polymer chain hardly rearranges on Au(111) below 400 °C.<sup>38–40</sup> However, azulene slowly transforms into naphthalene when heated above 330 °C.<sup>46</sup> Similar reaction is also observed during the flash-vacuum pyrolysis of azulene at 1000 °C.<sup>47</sup> The process is believed to proceed by intermediate radical adducts through “methylene walk” and “spiran” pathways. The azulene skeleton would thereby react from pentagon–heptagon to pentagon–hexagon and eventually to hexagon–hexagon pairs.<sup>48–51</sup> It is also reported that azulene interact more strongly with a metal surface than its isomer naphthalene.<sup>52,53</sup> In our present work, we assume that oligo(1,3-azulenediyl)s were first formed by thermally induced Au extrusion from polymer 3 at elevated temperature (Scheme S1). Then, initial surface-assisted C–H bond cleavage occurred primarily at azulenyl end-groups since they possess higher conformational mobility, which facilitates their interactions with the Au surface (Scheme S1). Next, the formed radical species attacked the neighboring azulenediyl moieties, triggering a chain reaction through repetitive steps of homoallyl-cyclopropylcarbinyl-radical-rearrangement/1,2-hydrogen-shift/interazulenediyl-radical-attack (Scheme S1). This sequence would eventually lead to an  $sp^2$ -carbon skeleton with multiple fused pentagon–heptagon pairs. Density functional theory (DFT) calculations suggest that 4 is 3.05 eV more stable than its counterpart octa(1,3,4,8-azulenetetrayl) on Au(111) substrate, revealing a driving force for the rearrangement to occur (Figure S4).

Compound 4 possesses an energy gap as low as 0.72 eV calculated by DFT. Its highest occupied and lowest unoccupied molecular orbital exhibit larger lobes on opposite sides of the molecular skeleton (Figure S5). To investigate the aromaticity of compound 4, we performed its NICS analysis.<sup>54</sup> Surprisingly, the hexagons and most of the heptagons are rather nonaromatic, while the pentagons are highly aromatic, especially the one in the aceheptalene subunit (−78 ppm) (Figure 4a). The value is even lower than that of pristine aceheptalene (Figure S6a). This scenario is further supported by significant diamagnetic and paramagnetic ring currents on the pentagons and the heptalene subunit, respectively, as



**Figure 4.** (a) NICS<sub>zz</sub>(1) pattern and (b) ACID analysis of 4. NICS is estimated at 1 Å above the center of each ring. The ACID isosurface is shown at an isovalue of 0.05. Diamagnetic currents and paramagnetic currents are indicated by red and blue arrows, respectively. Calculations were performed at the B3LYP/6-311+G\*\* level of theory.

suggested by the corresponding ACID analysis<sup>55</sup> (Figure 4b). Notably, the NICS<sub>zz</sub>(1) values of the pentagons in 4 (Figure 4a) are generally lower than those in the reported azulene-embedding PAHs,<sup>56–60</sup> and close to that of the cyclopentadienide anion (−34 ppm, Figure S6d), supporting an uneven distribution of electron density in 4.

In conclusion, we developed an on-surface synthesis of polycyclic sp<sup>2</sup>-carbon nanostructures with multiple regularly fused pentagon–heptagon pairs. Our approach utilized a surface-assisted reaction of a 1,1'-biazulenyl-3,3'-diyl-gold organometallic polymer involving a rearrangement of the azulene skeleton. NICS and ACID analysis suggested a polar electronic structure for these unprecedented sp<sup>2</sup>-carbon nanostructures. Our results can pave the way for new sp<sup>2</sup>-carbon frameworks with nonhexagons. Investigation of their potentially intriguing properties, such as an open-shell electronic structure, will be promising for spintronic applications.<sup>21,34,61</sup> Furthermore, the precise construction of multiple fused pentagon–heptagon pairs can also facilitate the understanding of topologically nontrivial defects in graphene.<sup>6</sup>

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.0c03635>.

Experimental methods; characterization data for new compounds; complementary STM, nc-AFM, and calculation figures; proposed reaction mechanism scheme (PDF)

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## Author Contributions

\*I.C.-Y.H. and Q.S. contributed equally.

## Notes

The authors declare no competing financial interest.

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